

# **James B. Brigham, CPA, CIA, CCSA, CFE,**

## **AUDIT, ETHICS, AND FINANCE EXECUTIVE**

Financial management and audit professional offering expertise in internal audit management, control risk assessment, and GAAP.

- Audit Department Development
- Control Risk Assessment
- Staff Training & Development
- Audit Committee Presentations
- Performance Improvement
- Inventory Shrinkage Reduction
- Shared Services Management
- Systems Implementations
- Regulatory Compliance
- Team Building & Leadership
- Ethics & Compliance
- Loss Prevention

Strong and decisive leadership qualifications with excellent analytical, organizational, and communication skills. Successful experience leading Loss Prevention inventory shrinkage reduction programs. Experience leading an award winning corporate-wide Ethics and Compliance function.

## **PROFESSIONAL EXPERIENCE**

### **Jacksonville State University**

#### **Senior Vice President Finance & Administration, CFO November 1, 2019 – December 31, 2021**

The Chief Financial Officer and Senior Vice President for Finance & Administration (CFO/SVPFA) reports directly to the President and serves as a member of the Management Committee and the President's Cabinet. SVPFA provides strategic and operational leadership of all financial operations of Jacksonville State University's (JSU). This role is tasked with ensuring the University's annual budgets are aligned with the University's strategic initiatives, assisting in the development and implementation of plans and strategies to fulfill the mission and vision of the university, and oversees the institutional processes for the Master Plan, operational and capital budgets, financial systems and policies; provides oversight of the university's endowment and investments, and compliance with applicable federal and state regulations and laws.

#### **Vice President Finance & Administration, CFO July 1, 2018- October 31,2019**

#### **Chief Operations & Strategy Officer, May 2017 – June 2018**

#### **Chief Internal Audit Officer, May 2016-May 2017**

### **Vice President – Internal Audit Services (Chief Audit and Compliance Officer)May 2006 – June 2013 PETCO Animal Supplies, Inc.**

*\$3 billion leading pet specialty retailer that provides products, services, and advice that make it easier for our customers to be great pet parents.*

- Reports to the Audit Committee and administratively to the Chief Executive Officer.
- Fulfill the responsibilities of the Internal Audit Department as described in the Internal Audit Charter

- Oversee a comprehensive enterprise-wide ethics & compliance program. Chair the Ethics, Compliance, and Risk Management Governance Committee
- Lead an enterprise-wide Loss Prevention Department.

**Senior Manager**

**2004 - 2006**

**Deloitte & Touche LLP**

*ERS practice in Dallas, Texas.*

- Led Sarbanes-Oxley implementation projects for Deloitte's retail clients in the Dallas office including Michael's Stores, Radio Shack, Blockbuster Video and others.

**Senior Director – Internal Audit**

**2001 – 2004**

**Dollar General Corporation**

*\$7 billion retailer reporting directly to the Audit Committee of the Board of Directors.*

- Responsible for Code of Conduct compliance and training
- Improved professionalism of the Audit Department. Received the Institute of Internal Auditor's 2003 Lawrence B. Sawyer Award for Professionalism.
- Enhanced the scope of the audit department to include information technology, financial, and store audits.
- Performed enterprise-wide business risk assessments used as the basis for the annual internal audit plan.
- Restructured staff job descriptions, training programs, professional certification requirements, and accountability protocols.
- Led taskforce which reduced inventory shrink loss by over \$20 million.
- Appointed to the Sarbanes Oxley 404 Compliance Committee.
- Conducted investigations of Code of Conduct violations.
- Performed ethics compliance training.
- Implemented the Control Self-Assessment process.

**Borders Group Inc./Kmart Corporation**

**1994 – 2001**

**Vice President Business Services**

*Shared services organization supporting the Borders Group, a \$3 billion retailer of books, music, and electronics.*

Managed:

- Accounts Payable
- Accounts Receivable
- Tax
- Treasury
- Purchasing
- Lease Accounting
- Fixed Assets Accounting
- Travel
- Corporate Services and Security
- Payroll, Human Resources Information Systems, and Employee Benefits.

Accomplishments:

- Significantly reduced overhead for Borders Group retail companies by 20%

- Implementation of common Lawson accounting systems, Peoplesoft payroll and HRIS systems.
- Restructured department to reduce headcount by 25% while improving customer service.
- Developed the infrastructure to support Borders Group significant growth, including international expansion.

**Corporate Controller - Borders Books and Music**

Upgraded the systems, reporting, and personnel within the Accounting Department to support rapid growth and the IPO from the Kmart Corporation.

**Director of Internal Audit – Kmart Specialty Retail Group**

Ensured effective internal controls and accurate financial reporting within Kmart's Specialty Retail Group companies while each company went through its IPO.

**OTHER RELEVANT EXPERIENCE**

**Director of Internal Audit, Smitty's, Inc., Phoenix, AZ**

**Senior Director Audit & Loss Prevention, Best Products Co. Inc., Richmond, VA**

**Director of Internal Audit, Woolworth Corporation, New York, NY**

**Ernst & Ernst, CPA's, Milwaukee, WI**

**Certifications:**

- Certified Public Accountant (CPA).
- Certified Internal Auditor (CIA)
- Certified Control Self-Assessment (CCSA)
- Certified Fraud Examiner (CFE)
- Certified Ethics and Compliance Professional (CCEP)
- Certified Information Technology Professional (CITP)
- Certified Construction Auditor (CCA)
- Certified in Financial Forensics (CFF)

**Education:**

- B.B.A. University of Wisconsin – Eau Claire
- MS Business Ethics & Compliance – New England College of Business & Finance

**Professional Organizations:**

- Member of the Institute of Internal Auditors
- Member of the American Institute of Certified Public Accountants
- Member of the Board of Directors for the Internal Audit Group of the International Mass Retailers Association
- Member of the Society of Corporate Compliance and Ethics
- Member of the Association of Certified Fraud Examiners